



PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	J. M. Winter
Sheet	2	of	3	Attorney Docket Number	SONY 3.0-022

gh	AT1	US-6,270,011-B1	08-07-2001	Gottfried	
	AU1	US-6,279,112-B1	08/2001	OTOOLE ET AL.	
	AV1	US-6,282,653	08-28-2001	Bersts et al.	
	AW1	US-6,310,988	10/2001	Dulude et al.	
	AX1	US-6,368,953	04-02-2002	Inoue	
	AY1	US-6,370,629	04-09-2002	Hastings et al.	
	AZ2	US-6,434,535	08-13-2002	Kupka et al.	
	AA2	US-6,453,301-B1	09/2002	Niwa Kiyohiko	
	AB2	US-6,592,044	07-15-2003	Wong et al.	
	AC2	US-6,615,264-B1	09-02-2003	Stoltz et al.	
	AD2	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ² -Number ³ -Kind Code ⁴ (if known)					
gh	BA	WO-95/13591		05-18-1995	Takhar, et al.		
	BB	WO-01/050428-A1		07-12-2001	Mitchell		
	BC	WO-01/59732-A2		08-16-2001	Shore		
	BD	WO-01/22351-A1		03-29-2001	Black		
	BE	EP-1 085 424-A1		03-21-2001	Yasukura et al.		
	BF	WO-01/008055-A1		02-01-2001	Taylor		
	BG	EP-1 033 687-A2		09-08-2000	Alt et al.		
	BH	EP-0917119A2		05-19-1999			

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
gh	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.		
	CB	Co-pending Patent No. 09/466,965, Authentication System, Fingerprint Identification Unit, and Authentication Method, filed December 20, 1999.		
	CC	PACK: "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1995.		
	CD	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method Or System For Executing Deferred Transactions".		
	CE	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".		
	CF	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".		
	CG	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".		

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Examiner Signature		Date Considered	5/28/05
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		Filing Date	December 11, 2001
		First Named Inventor	Harold A. Ludtke
		Art Unit	3621
		Examiner Name	J. M. Winter
Sheet 1 of 3	Attorney Docket Number	SONY 3.0-022	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JW	AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.	
	AB	US-2002/0147914	10-10-2002	Arnold	
	AC	US-6,741,214	01-18-2001	Smith	
	AD	US-4,582,985	04-15-1986	Lofberg	
	AE	US-5,056,019	10-08-1991	Schultz, et al.	
	AF	US-5,173,851-A	12/1992	OFF ET AL.	
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	AI	US-5,436,972	07-25-1995	Fischer	
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	AK	US-5,615,277	03-25-1997	Hoffman	
	AL	US-5,623,552	04-22-1997	Lane	
	AM	US-5,710,887	01-20-1998	Chelliah, et al.	
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	AO	US-5,778,068	07-07-1998	Johnson et al.	
	AP	US-5,796,832	08/1998	Kawan, Joseph C.	
	AQ	US-5,825,876	10-20-1998	Peterson, Jr.	
	AR	US-5,870,723	02-09-1999	Pare, Jr. et al.	
	AS	US-5,884,271	03-16-1999	Pitroda	
	AT	US-5,892,900	04-06-1999	Ginter et al.	
	AU	US-5,897,622	04-27-1999	Blinn, et al.	
	AV	US-5,915,022-A	06/1999	ROBINSON ET AL.	
	AW	US-5,923,016	07-13-1999	Fredregill, et al.	
	AX	US-5,926,624	07-20-1999	Katz et al.	
	AY	US-5,948,040	09-07-1999	DeLorme, et al.	
	AZ	US-5,956,699	09-21-1999	Wong et al.	
	AA1	US-5,990,804	11-23-1999	Koyama	
	AB1	US-6,002,770	12-14-1999	Tomko et al.	
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	AD1	US-6,006,200	12-21-1999	Boies et al.	
	AE1	US-6,009,415	12-28-1999	Shurling, et al.	
	AF1	US-6,012,039	01-04-2000	Hoffman, et al.	
	AG1	US-6,016,478	01-18-2000	Maes et al.	
	AH1	US-6,018,724	01-25-2000	Arent	
	AI1	US-6,023,679	02-08-2000	Acebo, et al.	
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	AK1	US-6,119,096	09-12-2000	Mann et al.	
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	AN1	US-6,141,754	10-31-2000	Choy	
	AO1	US-6,151,600-A	11/2000	DEDRICK, RICK	
	AP1	US-6,163,772	12-19-2000	Kramer, et al.	
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	AR1	US-6,226,618	05-01-2001	Downs et al.	
	AS1	US-6,269,348-B1	07-31-2001	Pare, Jr. et al.	
Examiner Signature	<i>[Signature]</i>			Date Considered	9/25/05



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				Art Unit	2681
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

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		Number-Kind Code ² (if known)			
G/M	AA	US-5,809,212	09-15-1998	Shasha	
	AB	US-5,884,272	03-16-1999	Walker et al.	
	AC	US-5,966,704	10-12-1999	Furegati et al.	
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	AE	US-6,088,731	07-11-2000	Kiraly et al.	
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	AG	US-6,356,905	03-12-2002	Gershman et al.	
	AH	US-6,556,976	04-29-2003	Callen	
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